INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Substitute for form 1449B/PTO

(Use as many sheets as necessary)

Sheet

Complete if Known						
Application Number	10/055,863					
Filing Date	January 22, 2002					
First Named Inventor	Engler, Heidrun					
Art Unit	1623					
Examiner Name	Elli Peselev					
Attorney Docket Number	016930-000816US					

U.S. PATENT DOCUMENTS								
Examiner Initials*	Cite No.	Document Number Number Kind Code ^{2 (F known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear			
45	AA	2001/0006948 A1	07-05-2001	Engler et al.				
as	AB	2002/0111502 A1	08-15-2002	Engler et al				
60	AC	2005/0085427 A1	07-21-2005	Connor et al.				
W.	AD	US-4,414,150	11-08-1983	Goeddel				
Cy,	AE	US-4,456,748	06-26-1984	Goeddel				
Gj	AF	US-4,678,751	07-07-1987	Goeddel				
4	AG	US-4,695,623	09-22-1987	Stabinsky				
G,	АН	US-4,897,471	01-30-1990	Stabinsky				
43	Al	US-5,118,512	09-30-1994	O'Leary et al.				
41	AJ	US-5,120,318	08-09-1992	Morales et al.	•			
40	AK	U\$-5,298,222	03-29-1994	O'Leary et al.				
60	AL	US-5,532,220	07-02-1996	Lee et al.				
Cp	АМ	US-5,602,023	02-11-1997	Csatary, Laszio K.				
y	AN	US-5,789,244	08-04-1998	Heidrun Engler et al.				
49	AO	US-5,831,062	11-03-1998	Taylor et al.	· · · · · · · · · · · · · · · · · · ·			
a,	AP	US-5,837,520	11-17-1998	Shabram et al.				
4	AQ	US-5,912,236 A	08-15-1999	Xu et al.				
hi	AR	US-5,923,210	08-03-1999	Gregory et al.				
4	AS	US-6,030,956	02-29-2000	Boulikas				
60	AT	US-6,066,624	05-23-2000	Woo et al.				
4	AU	US-8,207,454 ·	03-27-2001	Zsebo et al.				

				FOREIGN I	PATENT DOCU	JMENTS		
Examiner Cite		Foreign Patent Document			Publication Date	Name of Patentes or	Pages, Columns, Lines,	
/C.D	/r p i Country Code* Number* [Kind Code* (if known)]		MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear			
/6.2	AV	wo	91/18927	Ą1	12-12-1991	Schering Corporation		
Up	AW	wo	93/00052	A1	01-07-1993	The Regents of the University of Michigan	·	
E.P.	AX	wo	04/108898	A2	12-16-2004	Canji Inc.		

Examiner Signature	/Elli Peselev/	Date Considered	04/17/2007

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

Substitute	Substitute for form 1449B/PTO			Complete if Known		
				Application Number	10/055,863	
INFO	RMATION D	ISCLOS	SURE	Filing Date	January 22, 2002	
STA	STATEMENT BY APPLICANT			First Named Inventor	Engler, Heidrun	
				Art Unit	1623	
	(Use as many sheets as necessary)			Examiner Name	Elli Peselev	
Sheet	2	of	4	Attorney Docket Number	016930-000816US	

·		NON PATENT LITERATURE DOCUM	MENTS		
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the a magazine, journal, serial, symposium, catalog, etc.), date, page(and/or country where published.	article (when app s), volume-issue	propriate), title of the item (book, e number(s), publisher, city	T ²
/E.P./	AY	ADDISON, C. et al., "Intratumoral injection of an adenovirus and immunity in a murine breast cancer model" Proc. Natl. (1995)			
/E.P.	AZ	BENEDICT, W. et al., "Intravesical Ad-IFNα causes marked northotopically in nude mice and overcome resistence to IFN-α pro-			
/E.P./	BA	FULTS et al., "Sustained-release of urease from a poloxam 44(2):279-286	ner gel matrix" .	J. Parenter. Sci. Technol.	
/E.P./	ВВ	HORTON, H. et al., "Antitumor effects of interferon-ω: in viv	∕o therapy of h	uman tumor xenografts in nude	
/E.P.	ВС	IZAWA, J. et al., "Inhibition of tumorigenicity and metastasis mice by interferon-β gene therapy results partially from variendothelial cell apoptosis" Clin. Cancer Res., Vol. 8(4): pp.	ous antiangiog	enic effects including	
/E.P.	BD	JOHNSTON et al., "Insulin disposition following intramuscu matrix" J. Parenteral Science and Technology 43(6):279-28		ion of an insulin/poloxamer gel	
/E.P./	BE	JOHNSTON et al., "Sustained delivery of interleukin-2 from intraperitoneal injection in mice" Pharmaceutical Research			
/E.P./	BF	KOSHIDA, K. et al., "Prospects for molecular research in usualization in usualization with the kiyo. Acta Urologica Japonica, Vol. 47(1): pp. 815-818 (200	rological encolo	ogy: bladder cancer" <u>Hinyokika</u> ly	
/E.P./	BG	KUBALL, J. et al., "Successful adenovirus-mediated wild-ty cancer by intraversical vector instillation" J. Clin. Onc., Vol.	pe <i>p5</i> 3 gene tr 20(4): pp. 957	ansfer in patients with bladder -965 (2002)	
/E.P./	вн	LIN, L.F. et al., "A system for the enhancement of adenoving J. Urol., Vol. 168: pp. 813-818 (2002)	us mediated ge	ene transfer to uro-epithelium	
/E.P./	ВІ	LU, B. et al., "Topical application of viral vectors for epidem 108, No. 5; pp. 8903-808 (1997) (Abstract Only)	nal gene transf	er" <u>J. Invest, Dermatol.,</u> Vol.	
/E.P./	BJ	LUO, Y. et al., "Recombinant bacilli calmette-guérin (BCG) demonstrates enhanced immunogenicity," Clin. Exp. Immur	expressing hur nol. 2001, Vo. 1	nan interferon-alpha 28 123, pp. 264-270.	
Examiner Signature		/Elli Peselev/	Date Considered	04/17/2007	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

Su	Substitute for form 1449B/PTO				Complete if Known		
					Application Number	10/055,863	
11	NFOF	RMATION	DISCLOS	URE	Filing Date	January 22, 2002	
. 8	STATEMENT BY APPLICANT			ANT	First Named Inventor	Engler, Heidrun	
					Art Unit	1623	
,	(Use as many sheets as necessary)				Examiner Name	Elli Peselev	
Sh	eet	3	of	4	Attorney Docket Number	016930-000816US	

	NON PATENT LITERATURE DOCUMENTS					
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the author magazine, journal, serial, symposium, catalog, etc.), date, page(s and/or country where published.			T²	
/E.P./	вк	Material Safety Sheet, Pluronic F127 NF (Poloxamer NF), E Parsoppany, NJ	3ASF Corporat	tion Chemicals Division,		
/E.P./	BL	MAZUR, B. and W. PACIORKIEWICZ, "Studies on the effective Med. Dosw. Mikrobiol.; Vol. 26, No. 4; pp. 331-337 (1974)	ct of some disl	nfectants on virus activity"		
/E.P./	вм	OSIFCHIN et al., "Identification of a p53 binding site in the h promoter" J. Biol. Chem. 269(9):6383-6389 (1994)	human retinobl	astoma susceptibility gene		
/E.P./	BN	PEC et al., "Biological activity of urease formulated in polox rat" J. Pharmacological Sciences 81(7)::626-630 (1992)	amer 407 after	r intraperitoneal injection in the		
/E.P./	во	SHIAU, A.L. et al., "Postoperative immuno-gene therapy of administration of retroviruses expressing mouse interferon-(2001)				
/E.P./	BP	SIMONS et al., "Antisensec-myb oligonucleotides inhibit inti accumulation in vivo" Nature 359:67-69 (1992)	imal arterial sm	nooth muscle cell		
/E.P./	BQ	SMITH et al., *Adenovirus mediated expression of therapeu Nature Genetics 5:397-402 (1993)	ıtic plasma leve	els of human factor IX in mice"		
/E.P./	BR	STREULI, M. et al., "At least three human type a interferons 1343-1347 (1980)	s: Structure of	σ2" <u>Science</u> , Vol. 209, pp.		
/E.P./	BS	SUTTON, M. et al., "In vivo adenovirus-mediated suicide ge Mol. Ther., Vol. 2(3): pp. 211-217 (2000)	ene therapy of	orthotopic bladder cancer		
/E.P./	вт	TOLOZA, E.M. et al., "In vivo cancer gene therapy with a re Cancer Gene Therapy, Vol. 3, No. 1, pp. 11-17 (1996)	combinant inte	erleukin-2 adenovirus vector		
/E.P./	BU	TRAPNELL, Adv. Drug Del. Rev. 12:185-199 (1993)	******************************	***************************************		
/E.P./	BV	TYLER, R and G.A.J. AYLIFFE, "A surface test for virucidal with herpes virus" <u>J. Hosp, Infect.</u> ; Vol.9, No. 1; pp. 22-29 (1	activity of disir	nfectants: preliminary study		
Examiner Signature		/Elli Peselev/	Date Considered	04/17/2007		

*EXAMINER: Initial if reference considered, whether or not citation is In conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

*Applicant's unique citation designation number (optional). *Applicant is to place a check mark here if English language Translation is attached.

Substitute	Substitute for form 1449B/PTO		Complete if Known			
•				Application Number	10/055,863	
INFORMATION DISCLOSURE				Filing Date	January 22, 2002	
STA	STATEMENT BY APPLICANT			First Named Inventor	Engler, Heidrun	
				Art Unit	1623	
	(Use as many she	ets as necessary)		Examiner Name	Elli Peselev	·
Sheet	4	of	4	Attorney Docket Number	016930-000816US	

	NON PATENT LITERATURE DOCUMENTS						
Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²				
/E.P./	BW	XU, X. et al., "Adenovirus-mediated interferon-y transfer inhibits growth of transplanted HTLV-1 tax tumors in mice" Human Gene Therapy, Vol. 7, pp. 471-477 (1996)					
/E.P./	вх	YEI et al., "In vivo evaluation of the safety of adenovirus-mediated transfer of the human cystic fibrosis transmembrane conductance regulator cDNA to the lung" Human Gene Therapy 5:731-744 (1994)					
/E.P./	BY	ZHANG, J-F. et al., "Treatment of a human breast cancer xenograft with an adenovirus vector containing an interferon gene results in rapid regression due to viral oncolysis and gene therapy" Proc. Natl. Acad. Sci. USA, Vol. 93, pp. 4513-4518 (1996)					
/E.P./	BZ	ZHOU et al., "Visualizing superficial human bladder cancer cell growth in vivo by green fluorescent protein expression" Cancer Gene Therapy, Vol. 9; pp. 681-686 (2002)					

Examiner Signature	/Elli Peselev/	Date Considered	04/17/2007
		Considered	<u> </u>

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

Substitute for form 1448A&B/PTO				Complete if Known		
				Application Number	10/055,863	
INFO	RMATION	DISCLOS	URE	Filing Date	January 22, 2002	
STAT	TEMENT	BY APPLICA	ANT	First Named Inventor	Engler, Heidrun	
				Art Unit	1623	
(Use as many sheets as necessary)				Examiner Name	Elli Peselev	
Sheet	1	of	1	Attomey Docket Number	016930-000816US	

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Document Number Number Kind Code ^{2 (F brown)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
(1)	AA	US-5,250,524	10-5-1993	Kramer et al.		
40	AB	US-5,264,618 ·	11-23-1993	Hwang-Felgner et al.		

				FOREIGN I	PATENT DOCL	IMENTS		
Examiner	No.1	Foreign Patent Document		Publication Date	Name of Patentee or	Pages, Columns, Lines,		
initials*		Country Code ^a	Number ⁴	Kind Code ⁵ (if known)	MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	T ⁶
hy	AC	wo	95/29188	A1	11-02-1995	Univ Princeton		
48	AD	wo	96/10038	A1	04-04-1996	Apolloл, Inc.		
as	AE	wo	97/25072	A1	07-17-1997	Canji, Inc.		

NON PATENT LITERATURE DOCUMENTS					
Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²		
/E.P./	AF	WALKER, Suzanne et al., "Cationic facial amphiphiles: A promising class of transfection agents," Proc. Natl. Acad. Sci. USA, Vol. 93, pp. 1585-1590, February 1996			
/E.P./	AG	KUKOWASKA-LATALLO, Jolanta et al., "Efficient transfer of genetic material into mammalian cells using Starburst polyamidoamine dendrimers," Proc. Natl. Acad. Sci. USA, Vol. 93, pp. 4897-4902, May 1996			

Examiner		Date	
Signature	/Elli Peselev/	Considered	04/05/2007

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 809. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.